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Cryptography on Binary Edwards Curves over the Ring $\mathbb{F}_{2^n}[\varepsilon]$; $\varepsilon^3 = 0$

MOHA BEN TALEB EL HAMAM AND ABDELHAKIM CHILLALI

ABSTRACT. Let n be a positive integer, in this paper, we study binary Edwards curves defined over the finite local ring $B_3 = \mathbb{F}_{2^n}[\varepsilon]$ with $\varepsilon^3 = 0$ and outline the resulting cryptographic implications.

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1. Introduction

Edwards curves, introduced by H. Edwards in 2007, offer an elegant and highly symmetric model for elliptic curves with complete and efficient addition laws [1]. Binary Edwards curves, introduced by Bernstein et al [2], provide a parallel construction over fields of characteristic 2, particularly relevant for lightweight and hardware-oriented cryptography.

Several recent works [4, 5, 6, 7, 8, 9, 10, 11, 12] have extended classical elliptic curves to algebraic structures defined over rings such as $\mathbf{F}_q[\varepsilon]$ with relations $\varepsilon^2 = 0$, $\varepsilon^3 = 0$, $\varepsilon^2 = \varepsilon$ or $\varepsilon^3 = \varepsilon^2$.

In this paper, we study the arithmetic of the ring $\mathbb{F}_{2^n}[\varepsilon]$ with $\varepsilon^3 = 0$, and we define binary Edwards curves $E_{B_{a,d}}(B_3)$ over this ring. We then construct the corresponding group extension $E_{B_{a,d}}(B_3)$ of $E_{B_{a_0,d_0}}$, and provide an explicit bijection between the groups $E_{B_{a,d}}(B_3)$ and $E_{B_{a_0,d_0}} \times \mathbb{F}_{2^n}^2$, where $E_{B_{a_0,d_0}}(\mathbb{F}_{2^n})$ denotes the binary Edwards curve over the finite field \mathbb{F}_{2^n} .

Finally, we discuss the cryptographic implications of this construction. In particular, we show that the discrete logarithm problem in $E_{B_{a,d}}(B_3)$ is equivalent to the discrete logarithm problem in $E_{B_{a_0,d_0}}(\mathbb{F}_{2^n}) \times \mathbb{F}_{2^n}^2$, and that $\#E_{B_{a,d}}(B_3) = 2^{2n} \#E_{B_{a_0,d_0}}$.

2. Notation and ring arithmetic

Let $B_3 = \frac{\mathbb{F}_{2^n}[X]}{(X^3)}$ be a local ring, where \mathbb{F}_{2^n} is the finite field of order 2^n with n a positive integer. This ring is identified by $\mathbb{F}_{2^n}[\varepsilon]$ where $\varepsilon^3 = 0$. Consequently, B_3 admits the representation: $B_3 = \{x_0 + x_1\varepsilon + x_2\varepsilon^2 \mid (x_0, x_1, x_2) \in (\mathbb{F}_{2^n})^3\}$.

Let X and Y be two elements in B_3 , written as

$$X = x_0 + x_1\varepsilon + x_2\varepsilon^2, \quad Y = y_0 + y_1\varepsilon + y_2\varepsilon^2.$$

Using the relation $\varepsilon^3 = 0$, their sum and product are given by

$$\begin{aligned} X + Y &= (x_0 + y_0) + (x_1 + y_1)\varepsilon + (x_2 + y_2)\varepsilon^2, \\ X \cdot Y &= x_0y_0 + (x_0y_1 + x_1y_0)\varepsilon + (x_0y_2 + x_1y_1 + x_2y_0)\varepsilon^2. \end{aligned}$$

The following results can easily be verified (see [3]):

- $(B_3, +, \cdot)$ is a finite unitary commutative ring.
- B_3 is an \mathbb{F}_{2^n} -vector space of dimension 3 and of basis $\{1, \varepsilon, \varepsilon^2\}$.
- Let $X = x_0 + x_1\varepsilon + x_2\varepsilon^2 \in B_3$, X is invertible if and only if $x_0 \not\equiv 0 \pmod{2}$, in this case:
 - $X^{-1} = x_0^{-1} - x_1x_0^{-2}\varepsilon + (x_1^2x_0^{-3} - x_2x_0^{-2})\varepsilon^2$.
 - X is not invertible if and only if $x_0 \equiv 0 \pmod{2}$.
 - B_3 is a local ring, its maximal ideal is $M = (\varepsilon) = \varepsilon\mathbb{F}_{2^n}$.
- We consider the canonical projection τ defined by

$$\begin{array}{ccc} \tau & : & B_3 & \longrightarrow & \mathbb{F}_{2^n} \\ & & x_0 + x_1\varepsilon + x_2\varepsilon^2 & \longmapsto & x_0 \end{array}$$

is a surjective homomorphism of rings.

3. Binary Edwards curves over B_3

Fix parameters $a = a_0 + a_1\varepsilon + a_2\varepsilon^2$ and $d = d_0 + d_1\varepsilon + d_2\varepsilon^2$ in B_3 . We define the binary Edwards curve over B_3 by the affine equation

$$a(X + Y) + d(X^2 + Y^2) = XY + XY(X + Y) + X^2Y^2,$$

such that a and $d + a^2 + a$ are invertible in B_3 . We denote it by $E_{B_{a,d}}(B_3)$.

i.e. $E_{B_{a,d}}(B_3) : a(X + Y) + d(X^2 + Y^2) = XY + XY(X + Y) + X^2Y^2$.

Lemma 3.1. *The element $d + a^2 + a$ is invertible in B_3 if and only if*

$$d_0 + a_0^2 + a_0 \neq 0 \quad \text{in } \mathbb{F}_{2^n}.$$

Proof. Compute a^2 in characteristic 2 and $\varepsilon^3 = 0$, we have

$$a^2 = (a_0 + a_1\varepsilon + a_2\varepsilon^2)^2 = a_0^2 + a_1^2\varepsilon^2.$$

Then

$$d + a^2 + a = (d_0 + a_0^2 + a_0) + (d_1 + a_1)\varepsilon + (d_2 + a_2 + a_1^2)\varepsilon^2.$$

By the units characterization in B_3 , this element is invertible if and only if its constant term is nonzero, i.e. $d_0 + a_0^2 + a_0 \neq 0$. \square

Using Lemma 3.1, if both a and $d + a^2 + a$ are invertible in B_3 , then $E_{B_{\tau(a), \tau(d)}}(\mathbb{F}_{2^n})$ defines a binary Edwards curve over the finite field \mathbb{F}_{2^n} . We denote this curve by $E_{B_{a_0, d_0}}$.

Theorem 3.2. *Let $X = \tilde{x} + x_2\varepsilon^2$, $Y = \tilde{y} + y_2\varepsilon^2$, $a = \tilde{a} + a_2\varepsilon^2$, $d = \tilde{d} + d_2\varepsilon^2$ be elements of B_3 such that*

$$a(X + Y) + d(X^2 + Y^2) = XY + XY(X + Y) + X^2Y^2.$$

Then

$$\tilde{a}(\tilde{x} + \tilde{y}) + \tilde{d}(\tilde{x}^2 + \tilde{y}^2) = \tilde{x}\tilde{y} + \tilde{x}\tilde{y}(\tilde{x} + \tilde{y}) + \tilde{x}^2\tilde{y}^2 + (E + Fx_2 + Gy_2)\varepsilon^2,$$

where

$$E = -a_2(x_0 + y_0) - d_2(x_0^2 + y_0^2), \quad F = y_0 + y_0^2 - a_0 \quad \text{and} \quad G = x_0 + x_0^2 - a_0.$$

Proof. We have

$$\begin{aligned} a(X + Y) &= \tilde{a}(\tilde{x} + \tilde{y}) + (\tilde{a}(x_2 + y_2) + a_2(\tilde{x} + \tilde{y}))\varepsilon^2, \\ d(X^2 + Y^2) &= \tilde{d}(\tilde{x}^2 + \tilde{y}^2) + d_2(\tilde{x}^2 + \tilde{y}^2)\varepsilon^2, \\ XY &= \tilde{x}\tilde{y} + (\tilde{x}y_2 + \tilde{y}x_2)\varepsilon^2, \\ XY(X + Y) &= \tilde{x}\tilde{y}(\tilde{x} + \tilde{y}) + (\tilde{x}^2y_2 + \tilde{y}^2x_2)\varepsilon^2, \\ X^2Y^2 &= \tilde{x}^2\tilde{y}^2. \end{aligned}$$

If $a(X + Y) + d(X^2 + Y^2) = XY + XY(X + Y) + X^2Y^2$, then

$$\tilde{a}(\tilde{x} + \tilde{y}) + \tilde{d}(\tilde{x}^2 + \tilde{y}^2) = \tilde{x}\tilde{y} + \tilde{x}\tilde{y}(\tilde{x} + \tilde{y}) + \tilde{x}^2\tilde{y}^2 + (E + Fx_2 + Gy_2)\varepsilon^2,$$

where

$$E = -a_2(x_0 + y_0) - d_2(x_0^2 + y_0^2), \quad F = y_0 + y_0^2 - a_0 \quad \text{and} \quad G = x_0 + x_0^2 - a_0. \quad \square$$

Corollary 3.3. *If $(X, Y) \in E_{B_{a,d}}(B_3)$, then $(x_0, y_0) \in E_{B_{a_0,d_0}}$.*

Proof. If $(X, Y) \in E_{B_{a,d}}(B_3)$, then $a(X+Y)+d(X^2+Y^2) = XY+XY(X+Y)+X^2Y^2$. So, by Theorem 3.2 we have

$$a_0(x_0 + y_0) + d_0(x_0^2 + y_0^2) = x_0y_0 + x_0y_0(x_0 + y_0) + x_0^2y_0^2 + A\varepsilon + D\varepsilon^2.$$

Or $(1, \varepsilon, \varepsilon^2)$ is a basis of B_3 , then $a_0(x_0 + y_0) + d_0(x_0^2 + y_0^2) = x_0y_0 + x_0y_0(x_0 + y_0) + x_0^2y_0^2$. Thus $(x_0, y_0) \in E_{B_{a_0,d_0}}$. \square

4. The addition law on the binary Edwards curve $E_{B_{a,d}}(B_3)$

The authors of [2] introduced an explicit addition law for the binary Edwards curve $E_{B,\tau_i(a),\tau_i(d)}(\mathbb{F}_{2^n})$. This addition formula is strongly unified, meaning that it applies both to the addition of two distinct points and to the doubling case where the two inputs coincide.

Given two points (X_1, Y_1) and (X_2, Y_2) on the binary Edwards curve $E_{B_{a,d}}(B_3)$, the sum $(X_3, Y_3) = (X_1, Y_1) + (X_2, Y_2)$, when it is defined, is computed as follows:

$$X_3 = \frac{a(X_1 + X_2) + d(X_1 + Y_1)(X_2 + Y_2) + (X_1 + X_1^2)(X_2(Y_1 + Y_2 + 1) + Y_1Y_2)}{a + (X_1 + X_1^2)(X_2 + Y_2)}, \quad (1)$$

$$Y_3 = \frac{a(Y_1 + Y_2) + d(X_1 + Y_1)(X_2 + Y_2) + (Y_1 + Y_1^2)(Y_2(X_1 + X_2 + 1) + X_1X_2)}{a + (Y_1 + Y_1^2)(X_2 + Y_2)}. \quad (2)$$

If the denominators $\tau(a + (X_1 + X_1^2)(X_2 + Y_2))$ and $\tau(a + (Y_1 + Y_1^2)(X_2 + Y_2))$ are nonzero then the sum (X_3, Y_3) is a point in $E_{B_{a,d}}(B_3)$, with $(0, 0)$ is the neutral element and $-(X_1, Y_1) = (Y_1, X_1)$.

Lemma 4.1. *The projection*

$$\begin{aligned} \tilde{\tau} &: E_{B_{a,d}}(B_3) \rightarrow E_{B_{a_0,d_0}}, \\ (X, Y) &\mapsto (\tau(X), \tau(Y)). \end{aligned}$$

is a surjective morphism of groups.

Proof. Let $(x_0, y_0) \in E_{B_{a_0,d_0}}$. Then there exists a point $(X, Y) \in E_{B_{a,d}}(B_3)$ such that

$$\tilde{\tau}(X, Y) = (x_0, y_0).$$

By Theorem 3.2, we obtain

$$a_0(x_0 + y_0) + d_0(x_0^2 + y_0^2) = x_0y_0 + x_0y_0(x_0 + y_0) + x_0^2y_0^2 + (E + Fx_2 + Gy_2)\varepsilon^2,$$

and since $(1, \varepsilon, \varepsilon^2)$ forms a basis of B_3 , we necessarily have

$$E = -(Fx_2 + Gy_2).$$

Define

$$f(x, y) = a_0(x + y) + d_0(x^2 + y^2) - xy - xy(x + y) - x^2y^2.$$

Then the partial derivatives at (x_0, y_0) satisfy

$$\frac{\partial f}{\partial x}(x_0, y_0) = a_0 - y_0 - y_0^2 = -F, \quad \frac{\partial f}{\partial y}(x_0, y_0) = a_0 - x_0 - x_0^2 = -G.$$

The coefficients $-F$ and $-G$ are the partial derivatives of f at the point (x_0, y_0) , and cannot both vanish simultaneously. Therefore (x_2, y_2) exists, which shows that $\tilde{\tau}$ is surjective. \square

Lemma 4.2. *The mapping*

$$\begin{aligned} \vartheta &: \mathbb{F}_{2^n}^2 \rightarrow E_{B_{a,d}}(B_3), \\ (x_1, x_2) &\mapsto (x_1\varepsilon + x_2\varepsilon^2, x_1\varepsilon + (a_0^{-1}x_1^2 - x_2)\varepsilon^2) \end{aligned}$$

is an injective homomorphism.

Proof. Evidently, ϑ is well defined and injective.

Let $x_1, x_2, y_1, y_2 \in \mathbb{F}_{2^n}$, $P = (x_1\varepsilon + x_2\varepsilon^2, x_1\varepsilon + (a_0^{-1}x_1^2 - x_2)\varepsilon^2)$ and $Q = (y_1\varepsilon + y_2\varepsilon^2, y_1\varepsilon + (a_0^{-1}y_1^2 - y_2)\varepsilon^2)$. By (1), (2). We have:

$$P + Q = ((x_1 + y_1)\varepsilon + (x_2 + y_2)\varepsilon^2, (x_1 + y_1)\varepsilon + (a_0^{-1}(x_1 + y_1)^2 - (x_2 + y_2))\varepsilon^2),$$

then $\vartheta((x_1, x_2) + (y_1, y_2)) = \vartheta(x_1 + y_1, x_2 + y_2) = \vartheta(x_1, x_2) + \vartheta(y_1, y_2)$, and we conclude that ϑ is injective homomorphism of groups. \square

Corollary 4.3. *Let $S = \vartheta(\mathbb{F}_{2^n}^2)$, then $S = \ker(\tilde{\tau})$.*

Proof. Let $(x_1\varepsilon + x_2\varepsilon^2, x_1\varepsilon + (a_0^{-1}x_1^2 - x_2)\varepsilon^2) \in S$, then $\tilde{\tau}(x_1\varepsilon + x_2\varepsilon^2, x_1\varepsilon + x_2\varepsilon^2) = (0, 0)$. We conclude that $(x_1\varepsilon + x_2\varepsilon^2, x_1\varepsilon + (a_0^{-1}x_1^2 - x_2)\varepsilon^2) \in \ker(\tilde{\tau})$, thus $S \subset \ker(\tilde{\tau})$. Let $P = (X, Y) \in \ker(\tilde{\tau})$, then $\tilde{\tau}(X, Y) = (0, 0)$. So, $X = x_1\varepsilon + x_2\varepsilon^2$ and $Y = y_1\varepsilon + y_2\varepsilon^2$ where $(X, Y) \in E_{B_{a,d}}(B_3)$.

If $(X, Y) \in E_{B_{a,d}}(B_3)$, we have

$$\begin{aligned} a(X + Y) + d(X^2 + Y^2) &= a_0(x_1 + y_1)\varepsilon + a_0(x_2 + y_2)\varepsilon^2 + d_0(x_1 + y_1)\varepsilon^2, \\ XY + XY(X + Y) + X^2Y^2 &= x_1y_1\varepsilon^2. \end{aligned}$$

Therefore, $x_1 = y_1$ and $y_2 = a_0^{-1}x_1^2 - x_2$. then $(X, Y) = (x_1\varepsilon + x_2\varepsilon^2, x_1\varepsilon + (a_0^{-1}x_1^2 - x_2)\varepsilon^2)$. Thus $\ker(\tilde{\tau}) \subset S$. Finally, $S = \ker(\tilde{\tau})$. \square

Remark 4.1. Since $\vartheta(\mathbb{F}_{2^n}^2)$ is isomorphic to $\mathbb{F}_{2^n}^2$, it follows that $S \cong \mathbb{F}_{2^n}^2$. Hence, S is an abelian 2-group of order 2^{2n} .

Theorem 4.4. *The sequence*

$$0 \longrightarrow S \longrightarrow E_{B_{a,d}}(B_3) \longrightarrow E_{B_{a_0,d_0}} \longrightarrow 0$$

is a short exact sequence which defines the group extension $E_{B_{a,d}}(B_3)$ of $E_{B_{a_0,d_0}}$ by S .

Proof. $\tilde{\tau}$ is a surjective homomorphism of groups, $S = \vartheta(\mathbb{F}_{2^n}^2) = \ker(\tilde{\tau})$ and ϑ is an injective homomorphism. We deduce the sequence

$$0 \longrightarrow S \longrightarrow E_{B_{a,d}}(B_3) \longrightarrow E_{B_{a_0,d_0}} \longrightarrow 0$$

is a short exact sequence which defines the group extension $E_{B_{a,d}}(B_3)$ of $E_{B_{a_0,d_0}}$ by S . \square

Corollary 4.5.

$$\#E_{B_{a,d}}(B_3) = 2^{2n} \cdot \#E_{B_{a_0,d_0}}.$$

Proof. This follows from the exact sequence: $|E_{B_{a,d}}(B_3)| = |\ker(\tilde{\tau})| \cdot |\text{Im}(\tilde{\tau})| = 2^{2n} \cdot |E_{B_{a_0,d_0}}|$. \square

Theorem 4.6. *If $\#E_{B_{a_0,d_0}}$ is odd, then the exact sequence splits and*

$$E_{B_{a,d}}(B_3) \cong E_{B_{a_0,d_0}} \times \mathbf{F}_{2^n}^2.$$

Proof. Let $N = \#E_{B_{a_0,d_0}}$. If N is odd then there exists an integer b such that $Nb \equiv 1 \pmod{2}$, i.e. $1 - Nb$ is even. Set $t = 1 - Nb = 2c$ for some integer c . Define the endomorphism ψ of $E_{B_{a,d}}(B_3)$ by $\psi(P) = tP$. For any $P \in \ker(\tilde{\tau})$ we have $N\tilde{\tau}(P) = \tilde{\tau}(NP) = \tilde{\tau}(0) = 0$, hence $NP \in \ker(\tilde{\tau})$. But elements of $\ker(\tilde{\tau})$ have 2-power order. Therefore NbP lies in $\ker(\tilde{\tau})$. Thus, there exists a morphism $\sigma : E_{B_{a_0,d_0}} \rightarrow E_{B_{a,d}}(B_3)$ with $\tilde{\tau} \circ \sigma = \text{id}$. Concretely one constructs $\sigma(Q) = tP$ for any P with $\tilde{\tau}(P) = Q$ and checks independence of the choice of P . The existence of the section gives the desired splitting and hence the direct product decomposition. \square

5. Cryptographic consequences

Theorem 5.1. *The discrete logarithm problem on $E_{B_{a,d}}(B_3)$ reduces to the discrete logarithm problem on $E_{B_{a_0,d_0}}$. More precisely, when the extension splits,*

$$E_{B_{a,d}}(B_3) \cong E_{B_{a_0,d_0}} \times \mathbf{F}_{2^n}^2,$$

and the DLP in $E_{B_{a,d}}(B_3)$ is equivalent to solving the DLP component-wise.

Proof. Given the direct product decomposition, any point $P \in E_{B_{a,d}}(B_3)$ corresponds to $(P_0, U) \in E_{B_{a_0,d_0}} \times \mathbf{F}_{2^n}^2$. A discrete log question $k \mapsto kP$ reduces to computing kP_0 in the base curve and kU in the finite additive group $\mathbf{F}_{2^n}^2$; the latter is trivial to invert since $\mathbf{F}_{2^n}^2$ is an easily solvable additive group, so the hardness is inherited from the base curve $E_{B_{a_0,d_0}}$. \square

Key exchange. Use any standard Diffie–Hellman style protocol on $E_{B_{a,d}}(B_3)$: pick generator G , Alice sends aG , Bob sends bG , shared secret is abG . Security essentially reduces to the discrete-log problem on the base curve.

6. Conclusion

We provided full coefficient-level proofs of the structure of binary Edwards curves over the ring $B_3 = \mathbb{F}_{2^n}[\varepsilon]$ with $\varepsilon^3 = 0$. Finally cryptographic consequences follow immediately from the structural decomposition.

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Study of some Anisotropic Parabolic Problems with Degenerate Coercivity

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ABSTRACT. The aim of this paper is the study of the anisotropic parabolic problems described by

$$\begin{cases} \frac{\partial u}{\partial t} - \sum_{i=1}^N D^i a_i(x, t, u, \nabla u) = f(x, t, u) & \text{in } Q_T = \Omega \times (0, T), \\ u = 0 & \text{on } \Sigma_T = \partial\Omega \times (0, T), \\ u(x, 0) = u_0(x) & \text{in } \Omega, \end{cases} \quad (1)$$

where Ω is a bounded open subset of \mathbb{R}^N with $N \geq 2$. We prove the existence of renormalized solutions result for (1). We point out that the function $f(x, t, u)$ satisfies only some growth conditions with respect to u , and the initial data u_0 belongs to $L^1(\Omega)$.

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1. Introduction

Let Ω be a bounded open subset of \mathbb{R}^N ($N \geq 2$) with Lipschitz boundary $\partial\Omega$. Fan et al. have studied in [16] the nonlinear elliptic Dirichlet problem of the form

$$\begin{cases} -\Delta_{p(\cdot)} u = f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \quad (1.1)$$

here, the nonlinear term $f(x, u)$ verifying some growth condition with respect to u . They proved the existence of weak solutions for (1.1) in variable exponent Sobolev spaces. In [1], Ahmedatt et al. have studied the existence of renormalized solutions for the following quasilinear elliptic problem

$$\begin{cases} -\sum_{i=1}^N D^i a_i(x, u, \nabla u) + |u|^{s(\cdot)-1} u = f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \quad (1.2)$$

where the Leray-Lions operator $Au = -\sum_{i=1}^N D^i a_i(x, u, \nabla u)$ verifying some degenerated coercivity condition, we refer the reader also to [2]. In [10], Boccardo et al. have

studied the existence of solutions for the parabolic problem defined by

$$\begin{cases} \frac{\partial u}{\partial t} - \Delta_p u + \alpha_0 |u|^{s-1} u = f & \text{in } Q_T = \Omega \times (0, T), \\ u = 0 & \text{on } \Sigma_T = \partial\Omega \times (0, T), \\ u(x, 0) = 0 & \text{in } \Omega, \end{cases} \quad (1.3)$$

where f belongs to $L^1(Q_T)$ with α_0 is a strictly positive constant. They also concluded some regularity results. Blanchard et al. in [9] have considered the quasilinear parabolic problem given by

$$\begin{cases} \frac{\partial u}{\partial t} - \operatorname{div}(a(x, t, u, \nabla u) + \Phi(u)) = f - \operatorname{div}(g) & \text{in } Q_T, \\ u = 0 & \text{on } \Sigma_T, \\ u(x, 0) = u_0 & \text{in } \Omega, \end{cases} \quad (1.4)$$

where the datum $f \in L^1(Q_T)$, the initial data u_0 belongs to $L^1(\Omega)$, with $g \in (L^{p'}(Q_T))^N$ and $\Phi(\cdot)$ is a continuous function. They have established the existence and uniqueness of renormalized solution. In the case where the function a doesn't depend on the solution u , and $\Phi = g = 0$, the authors have proved in [7] the existence and uniqueness of renormalized solution for the quasilinear problem (1.4), we refer the reader also to [8]. In the framework of Sobolev spaces with variable exponents, we refer the reader to [3], [4], [5], and [11].

In the anisotropic parabolic spaces, Chrif et al. have established in [12] the existence of entropy solutions for the quasilinear parabolic problem

$$\begin{cases} \frac{\partial u}{\partial t} - \sum_{i=1}^N D^i a_i(x, t, \nabla u) + g(x, t, u, \nabla u) + d(x, t) |u|^{p_0-2} u = f - \operatorname{div}(\Phi(u)) & \text{in } Q_T, \\ u = 0 & \text{on } \Sigma_T, \\ u(x, 0) = u_0 & \text{in } \Omega, \end{cases} \quad (1.5)$$

where the lower order term $g(x, t, s, \xi)$ satisfies the sign and some growth condition, with $f(x, t) \in L^1(Q_T)$, and the initial data $u_0 \in L^1(\Omega)$, we refer the reader also to [13, 14] for more details.

In this paper, we study the degenerated quasilinear parabolic problem

$$\begin{cases} u_t - \sum_{i=1}^N D^i (a_i(x, t, u, \nabla u)) = f(x, t, u) & \text{in } Q_T, \\ u = 0 & \text{on } \Sigma_T, \\ u(x, 0) = u_0(x) & \text{in } \Omega, \end{cases} \quad (1.6)$$

in anisotropic parabolic Sobolev spaces, where $f(x, t, s)$ verifies some growth condition with respect to s and the initial data u_0 belongs to $L^1(\Omega)$. We are interested on establishing the existence of renormalized solutions for (1.6). However, there are some difficulties connected to our problem, such as the lack of coercivity due to the degenerate coercivity condition, which requires the use of the penalized term $\frac{1}{n} |u|^{p_0-2} u$.

This paper is structured as follows : In the section 2 we introduce some definitions and properties concerning the anisotropic Sobolev spaces, then we recall some essentials lemmas. The Section 3 is devoted to presenting the assumptions on the functions

$a_i(x, t, s, \xi)$ and $f(x, t, s)$ under which our problem has at least one renormalized solution. The Section 5, will be devoted to prove our main result.

2. Notations and preliminaries

This section is devoted to introduce some definitions and basic properties concerning the anisotropic parabolic Sobolev spaces.

Let Ω be an open bounded domain in \mathbb{R}^N ($N \geq 2$) with a Lipschitz boundary $\partial\Omega$.

Let p_1, p_2, \dots, p_N be N real exponents, such that $1 < p_i < \infty$ for $i = 1, \dots, N$.

We set $\vec{p} = (p_1, \dots, p_N)$, with

$$\underline{p} = \min\{p_1, p_2, \dots, p_N\} \quad \text{and} \quad p_0 = \max\{p_1, p_2, \dots, p_N\}.$$

Moreover, we denote

$$D^0 u = u \quad \text{and} \quad D^i u = \frac{\partial u}{\partial x_i} \quad \text{for} \quad i = 1, \dots, N.$$

The anisotropic Sobolev space $W^{1, \vec{p}}(\Omega)$ is defined by

$$W^{1, \vec{p}}(\Omega) = \left\{ u \in L^{p_0}(\Omega) \text{ such that } D^i u \in L^{p_i}(\Omega) \text{ for } i = 1, 2, \dots, N \right\},$$

this space is equipped with the norm

$$\|u\|_{1, \vec{p}} = \sum_{i=0}^N \|D^i u\|_{p_i}. \quad (2.1)$$

We set $W_0^{1, \vec{p}}(\Omega)$ the closure of $C_0^\infty(\Omega)$ in $W^{1, \vec{p}}(\Omega)$ for the norm (2.1).

The Sobolev spaces $W^{1, \vec{p}}(\Omega)$ and $W_0^{1, \vec{p}}(\Omega)$ are separable and reflexive Banach spaces.

Proposition 2.1. (see [17, 22].) Let $u \in W_0^{1, \vec{p}}(\Omega)$, we have

(i) Poincaré's inequality : there exists a constant $C_p > 0$, such that

$$\|u\|_{L^{p_i}(\Omega)} \leq C_p \|D^i u\|_{L^{p_i}(\Omega)} \quad \text{for any } i = 1, \dots, N.$$

(ii) Sobolev's inequality : there exists a constant $C_s > 0$, such that

$$\|u\|_{L^q(\Omega)} \leq \frac{C_s}{N} \sum_{i=1}^N \|D^i u\|_{L^{p_i}(\Omega)},$$

where

$$\frac{1}{\bar{p}} = \frac{1}{N} \sum_{i=1}^N \frac{1}{p_i} \quad \text{and} \quad \begin{cases} q = \bar{p}^* = \frac{N\bar{p}}{N-\bar{p}} & \text{if } \bar{p} < N, \\ q \in [1, +\infty[& \text{if } \bar{p} \geq N. \end{cases}$$

Lemma 2.1. Let Ω be a bounded open set in \mathbb{R}^N with a Lipschitz boundary. Then, the following embedding are compact.

- if $\bar{p} < N$, then $W_0^{1, \vec{p}}(\Omega) \hookrightarrow L^r(\Omega)$ for any $r \in [1, \bar{p}^*]$, where $\frac{1}{\bar{p}^*} = \frac{1}{\bar{p}} - \frac{1}{N}$.
- if $\bar{p} = N$, then $W_0^{1, \vec{p}}(\Omega) \hookrightarrow L^r(\Omega)$ for any $r \in [1, +\infty[$,
- if $\bar{p} > N$, then $W_0^{1, \vec{p}}(\Omega) \hookrightarrow L^\infty(\Omega) \cap C^0(\bar{\Omega})$.

The proof is based on the continuous embedding of $W_0^{1, \vec{p}}(\Omega)$ into $W_0^{1, \underline{p}}(\Omega)$, and the compact embedding theorem for Sobolev spaces.

Definition 2.1. The dual of the anisotropic Sobolev space $W_0^{1, \vec{p}}(\Omega)$ is denoted by $W^{-1, \vec{p}'}(\Omega)$, where $\vec{p}' = (p'_1, p'_2, \dots, p'_N)$ giving by :

$$rW^{-1, \vec{p}'}(\Omega) = \left\{ F = F_0 - \sum_{i=1}^N D^i F_i \text{ such that } F_0 \in L^{p'_0}(\Omega) \right. \\ \left. \text{and } F_i \in L^{p'_i}(\Omega) \text{ for } i = 1, 2, \dots, N \right\}.$$

Moreover, for all $u \in W_0^{1, \vec{p}}(\Omega)$ we have

$$\langle F, u \rangle = \sum_{i=0}^N \int_{\Omega} F_i D^i u \, dx.$$

The norm on the dual space is defined by

$$lr\|F\|_{-1, \vec{p}'} = \inf \left\{ \sum_{i=0}^N \|F_i\|_{p'_i} \text{ with } F = F_0 - \sum_{i=1}^N D^i F_i, \right. \\ \left. \text{where } F_0 \in L^{p'_0}(\Omega) \text{ and } F_i \in L^{p'_i}(\Omega) \right\}.$$

Let $T > 0$, we put $Q_T = \Omega \times (0, T)$ and \sum_T the surface $\partial\Omega \times (0, T)$. We introduce the anisotropic parabolic space $L^{\vec{p}}(0, T; W^{1, \vec{p}}(\Omega))$ by

$$L^{\vec{p}}(0, T; W^{1, \vec{p}}(\Omega)) = \left\{ u \text{ measurable function} / \sum_{i=0}^N \int_0^T \|D^i u\|_{L^{p_i}(\Omega)}^{p_i} dt < \infty \right\}$$

endowed with the norm

$$\|u\|_{L^{\vec{p}}(0, T; W^{1, \vec{p}}(\Omega))} = \sum_{i=0}^N \|D^i u\|_{L^{p_i}(Q_T)}.$$

The functional space $L^{\vec{p}}(0, T; W_0^{1, \vec{p}}(\Omega))$ is defined by

$$L^{\vec{p}}(0, T; W_0^{1, \vec{p}}(\Omega)) = \left\{ u \in L^{\vec{p}}(0, T; W^{1, \vec{p}}(\Omega)) / u = 0 \text{ on } \partial\Omega \times [0, T] \right\}.$$

Note that $L^{\vec{p}}(0, T; W^{1, \vec{p}}(\Omega))$ and $L^{\vec{p}}(0, T; W_0^{1, \vec{p}}(\Omega))$ are separable and reflexive Banach spaces.

Definition 2.2. The dual space of $L^{\vec{p}}(0, T; W_0^{1, \vec{p}}(\Omega))$ is defined as follows

$$L^{\vec{p}'}(0, T; W^{-1, \vec{p}'}(\Omega)) = \left\{ F = f_0 - \sum_{i=1}^N D^i f_i, \text{ with } f_i \in L^{p'_i}(Q_T) \right\}.$$

We define a norm on the dual space by

$$\|F\|_{L^{\vec{p}'}(0, T; W^{-1, \vec{p}'}(\Omega))} = \inf \left\{ \sum_{i=0}^N \|f_i\|_{L^{p'_i}(Q_T)} / F = f_0 - \sum_{i=1}^N D^i f_i \text{ with } f_i \in L^{p'_i}(Q_T) \right\}.$$

The duality of the spaces $L^{\vec{p}}(0, T; W_0^{1, \vec{p}}(\Omega))$ and $L^{\vec{p}'}(0, T; W^{-1, \vec{p}'}(\Omega))$ is given by the relation

$$\int_0^T \langle F, v \rangle dt = \sum_{i=0}^N \int_{Q_T} f_i D^i v \, dx dt \quad \text{for all } v \in L^{\vec{p}}(0, T; W_0^{1, \vec{p}}(\Omega)).$$

Lemma 2.2 (see [21]). *Let B_0 , B and B_1 be a Banach spaces with $B_0 \subset B \subset B_1$. Let us set*

$$Y = \{u : u \in L^{p_0}(0, T; B_0) \quad \text{and} \quad u' \in L^{p_1}(0, T; B_1)\}$$

where $p_0 > 1$ and $p_1 > 1$ are reals numbers.

Assuming that the embedding $B_0 \hookrightarrow B$ is compact, then

$$Y \hookrightarrow L^{p_0}(0, T; B)$$

and this embedding is compact.

Remark 2.1. Let $\underline{p} > \frac{2N}{N+2}$, we set

$$B_0 = W_0^{1, \vec{p}}(\Omega), \quad B = L^2(\Omega) \quad \text{and} \quad B_1 = W^{-1, \vec{p}'}(\Omega),$$

with $p_0 = \underline{p}$ and $p_1 = \underline{p}'$. In view of the Lemma 2.2 we obtain

$$\{u : u \in L^{\vec{p}}(0, T; W_0^{1, \vec{p}}(\Omega)) \quad \text{and} \quad u' \in L^{\vec{p}'}(0, T; W^{-1, \vec{p}'}(\Omega))\} \subseteq Y \hookrightarrow L^1(Q_T). \quad (2.2)$$

Moreover, in view of [5], we have

$$\{u : u \in L^{\vec{p}}(0, T; W_0^{1, \vec{p}}(\Omega)) \quad \text{and} \quad u' \in L^{\vec{p}'}(0, T; W^{-1, \vec{p}'}(\Omega))\} \subseteq C([0, T]; L^1(\Omega)). \quad (2.3)$$

Now, we recall some helpful lemmas for the proof of our main result.

Lemma 2.3 (see [18]). *Let $(u_n)_n$ be a sequence in $L^1(\Omega)$ and $u \in L^1(\Omega)$ such that*

- (i): $u_n \rightarrow u$ a.e. in Ω ,
- (ii): $u_n \geq 0$ and $u \geq 0$ a.e. in Ω ,
- (iii): $\int_{\Omega} u_n \, dx \rightarrow \int_{\Omega} u \, dx$,

then u_n converges to u strongly in $L^1(\Omega)$.

Lemma 2.4. *Let $1 < p < \infty$, we assume that $g(x) \in L^p(\Omega)$ and the sequence $(g_n)_n$ is uniformly bounded in $L^p(\Omega)$ with $\|g_n\|_p \leq C$.*

If $g_n(x) \rightarrow g(x)$ a.e. in Ω , then $g_n(x) \rightharpoonup g(x)$ weakly in $L^p(\Omega)$.

Definition 2.3. For $k > 0$, we define the truncation function $T_k(\cdot) : \mathbb{R} \mapsto \mathbb{R}$ by

$$T_k(s) = \max\{-k, \min(s, k)\}.$$

Proposition 2.5 (see [13]). *Let $\mu > 0$, we define the time mollification of a function u that belongs to $L^{\vec{p}}(0, T; W_0^{1, \vec{p}}(\Omega))$ by*

$$u_{\mu}(x, t) = \mu \int_{-\infty}^t \bar{u}(x, s) \exp(\mu(s-t)) ds, \quad \text{where } \bar{u}(x, s) = u(x, s) \chi_{(0, T)}(s).$$

Thus, we have

(i) If $u \in L^q(Q_T)$, then u_μ is measurable in Q_T . Moreover, $(u_\mu)_t = \mu(u - u_\mu)$ and

$$\int_{Q_T} |u_\mu|^q dx dt \leq \int_{Q_T} |u|^q dx dt.$$

(ii) If $u \in L^{\bar{p}}(0, T; W_0^{1, \bar{p}}(\Omega))$, thus $u_\mu \rightarrow u$ strongly in $L^{\bar{p}}(0, T; W_0^{1, \bar{p}}(\Omega))$ as $\mu \rightarrow +\infty$.

(iii) If $u_n \rightarrow u$ strongly in $L^{\bar{p}}(0, T; W_0^{1, \bar{p}}(\Omega))$, then $(u_n)_\mu \rightarrow u_\mu$ strongly in $L^{\bar{p}}(0, T; W_0^{1, \bar{p}}(\Omega))$.

3. Essential Assumptions

Let Ω be a bounded open subset of \mathbb{R}^N ($N \geq 2$), with Lipschitz boundary $\partial\Omega$.

We consider the operator $Au : L^{\bar{p}}(0, T; W_0^{1, \bar{p}}(\Omega)) \mapsto L^{\bar{p}'}(0, T; W^{-1, \bar{p}'}(\Omega))$ defined by

$$Au = - \sum_{i=1}^N D^i a_i(x, t, u, \nabla u), \quad (3.1)$$

such that $a_i(x, t, s, \xi) : \Omega \times (0, T) \times \mathbb{R} \times \mathbb{R}^N \mapsto \mathbb{R}$ is a Carathéodory function that verifies the following conditions

$$|a_i(x, t, s, \xi)| \leq \beta(K_i(x, t) + |s|^{p_i-1} + |\xi_i|^{p_i-1}), \quad (3.2)$$

where $K_i(\cdot, \cdot)$ is a nonnegative function that belonging to $L^{p_i'}(Q_T)$,

$$(a_i(x, t, s, \xi) - a_i(x, t, s, \xi^*))(\xi_i - \xi_i^*) > 0 \quad \text{for any } \xi_i \neq \xi_i^*, \quad (3.3)$$

and

$$a_i(x, t, s, \xi)\xi_i \geq b(|s|)|\xi_i|^{p_i}, \quad (3.4)$$

such that $b(|\cdot|) : \mathbb{R} \mapsto \mathbb{R}^+$ is a decreasing positive function, that verifies

$$b(|s|) \geq \frac{b_0}{(1 + |s|)^\lambda} \quad \text{with } 0 \leq \lambda < \underline{p} - 1, \quad (3.5)$$

where β and b_0 are two strictly nonnegatives constants.

The Carathéodory function $f(x, t, s)$ having only the growth condition

$$|f(x, t, s)| \leq f_0(x, t) + c(x, t)|s|^{q_0}, \quad (3.6)$$

where $f_0(\cdot, \cdot) \in L^1(Q_T)$ and $0 < q_0 \leq \underline{p} - \lambda - 1$, the positive measurable function

$c(\cdot, \cdot)$ belongs to $L^m(Q_T)$ with $\frac{\underline{p} - \lambda - 1}{\underline{p} - \lambda - 1 - q_0} < m$.

Lemma 3.1. *Assuming that the conditions (3.2) – (3.4) hold true. Let $(u_n)_n$ be a sequence in $L^{\bar{p}}(0, T; W_0^{1, \bar{p}}(\Omega))$ with $(u_n)_t \in L^{\bar{p}'}(0, T; W^{-1, \bar{p}'}(\Omega))$, such that $u_n \rightharpoonup u$ weakly in $L^{\bar{p}}(0, T; W_0^{1, \bar{p}}(\Omega))$ and*

$$\begin{aligned} \lim_{n \rightarrow \infty} \left(\sum_{i=1}^N \int_{Q_T} (a_i(x, t, T_k(u_n), \nabla u_n) - a_i(x, t, T_k(u_n), \nabla u))(D^i u_n - D^i u) dx dt \right. \\ \left. + \int_{Q_T} (|u_n|^{p_0-2} u_n - |u|^{p_0-2} u)(u_n - u) dx dt \right) = 0, \end{aligned}$$

then $u_n \rightarrow u$ strongly in $L^{\bar{p}}(0, T; W_0^{1, \bar{p}}(\Omega))$ for a subsequence.

The proof of lemma 3.1 follows the same technique used in [13].